EU-LEX: EU Legislative Databank based on CELEX/ PreLex

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Should you choose to use our data in your research, we ask that you cite our publication

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This Codebook provides an overview of the merged dataset as it is presented in König et al. (2006). The dataset can be downloaded in Stata and SPSS formats from http://www.dhv-speyer.de/tkoenig/Downloads.htm. The data will be made available in other formats upon request. If any questions arise please contact luetgert@dhv-speyer.de.

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1 Variables from CELEX

1.1 Unique ID of Commission Proposal Document (CELEX5)

proposal CELEX format (4digit year)

type: string (str15)

unique values: 8457 missing "": 0/8475

examples: "51987PC0001(61)"

1.2 Date of Document

These variables indicate the date of the proposal (**propdate**) or that of the adopted document (**addate**). Both dates are based on the field "Date of Document" in CELEX Sector 5 and Sector 3 respectively. Refer to description in CELEX Reference Manual, p. 36.

propdate Date of Commission Proposal (CELEX5)

type: numeric daily date (int)

range: [8770,15735] units: 1
or equivalently: [05jan1984,30jan2003] units: days
unique values: 3016 missing .: 0/8475

mean: 11763.1 = 16mar1992 (+ 3 hours)

std. dev: 1865.48

percentiles: 10% 25% 50% 75% 90% 9418 10195 11606 13209 14536

14oct1985 30nov1987 11oct1991 01mar1996 19oct1999

addate Date of Adopted Document (CELEX3)

type: numeric daily date (int)

range: [8775,15737] units: 1
or equivalently: [10jan1984,01feb2003] units: days
unique values: 1326 missing .: 762/8475

mean: 11900.6 = 31jul1992 (+ 14 hours)

std. dev: 1862.96

percentiles: 10% 25% 50% 75% 90% 9485 10342 11721 13360 14595 20dec1985 25apr1988 03feb1992 30jul1996 17dec1999

1.3 Policy sector

1.3.1 Classification based on directory code

Coded on the first specification of the respective CELEX directory code. **class5** is based on information from CELEX Sector 5. Please refer to CELEX Reference Manual, p. 20 and Appendix C.

Policy Sector Classified acc. Directory Code (CELEX5)

class5	F	olicy S	ector Cl	assified acc. Directory Code (C
	type: label:			
	range: unique values:	[1,18] 18		units: 1 missing .: 2/8475
	unique varaes.	10		missing . • 2/01/3
	tabulation:	Freq. 181	Numeric 1	
		1318	2	
		3333	3	_
		200	4	_
		110	5	
		236	6	-
		23	7	
		202	8	
		60	9	Economic & monetary policy; free movement of capital
		1865	10	External Relations
		118	11	Energy
		427	12	Industrial policy & internal market
		34	13	Regional policy & coordination of structural instruments
		225	14	
		54	15	-
		29	16	
		26	17	
		32	18	-
		2		J450100

1.3.2 Classification based on legal basis

polarea is a classification of the policy field obtained by coding the legal basis according to the sub-headings of the Treaty chapters. **polcat** is based on this information and on the variable **class5** if not available.

polarea

Policy Area According to Treaty Title (CELEX)

```
type: numeric (byte)
label: pollb_en
```

range: [0,24]

units: 1
missing : 663/8475

unique values:	24		missing .: 663/8475
tabulation:	Freq.	Numeric	Label
	16	0	Principles /Citizenship of the Union
	349	1	Free Movement of Goods
	2982	2	Agriculture
	185	3	Free movement of persons, services and capital
	172	4	Transport
	672	5	Competition, taxation and approximation of law
	42	6	Economic and monetary policy
	2141	7	Common Commercial policy
	90	8	Social policy, education,
			vocational training and youth
	7	9	Culture
	13	10	Public health
	5	12	TransEuropean networks
	53	13	Industry
	8	14	Economic and social cohesion
	104	15	Research and technological development
	42	16	Environment
	9	17	Development cooperation
	35	18	Association of the overseas
			countries and territories
	242	19	Provisions governing the institutions
	36	20	Financial provisions
	585	21	General and final provisions
	17	22	Visas, asylum, immigration and other policies related to free movement of persons
	6	23	Employment
	1	24	Customs cooperation
	663		

polcat

Field (CELEX), categorized

type: numeric (byte)

label: polcatlb_en

units: 1 range: [1,5] unique values: 5 missing .: 0/8475

tabulation: Freq. Numeric Label
2141 1 Trade
672 2 Common Rules
2982 3 Agriculture 534 4 Internal Market 5 Various 2146

polcat:

1. Based on polarea and class5. Categorized for easy comparison.

1.4 Information on the type of legislative act

instrum is based on the type of the adopted document and the proposed one if not adopted.

instrum		Type of Adopted Document (CELEX)
	numeric (byte) anntyplb_en	
range: unique values:	- , -	units: 1 missing .: 0/8475
tabulation:	2073 1 5358 2	Label Decision Regulation Directive

1.5 Years

propyear gives the year of the proposal and was obtained from **propdate**. **adyear** gives the year of the adopted document and was obtained from **addate**.

propyear		Year of Proposal (CELEX)
type:	numeric (int)	
range:	[1984,2003]	units: 1
unique values:	20	missing .: 0/8475
tabulation:	Freq. Value	
	495 1984	
	569 1985	
	564 1986	
	580 1987	
	605 1988	
	486 1989	
	597 1990	
	502 1991	
	533 1992	
	473 1993	
	438 1994	
	453 1995	
	371 1996	
	372 1997	
	392 1998	
	290 1999	
	308 2000	
	247 2001	
	194 2002	
	6 2003	

Year of Adoption (CELEX) adyear numeric (int) type: range: [1984,2003] units: 1 missing .: 762/8475 unique values: 20 tabulation: Value Freq. 313 1984 473 1985 538 1986 521 1987 513 1988 504 1989 509 1990 463 1991 528 1992 445 1993 414 1994 358 1995 364 1996 346 1997 375 1998 297 1999 241 2000 246 2001 245 2002 20 2003 762

1.6 Availability of treaty basis

This variable indicates if a treaty reference, or legal basis, is available. Acts having no legal basis are either missing or refer to accession treaties.

Availability of any Legal Basis (CELEX) treatybase numeric (byte) type: label: baselb_en units: 1 missing .: 0/8475 range: [0,1] unique values: 2 Numeric Label tabulation: Freq. 167 0 No 8308 1 Yes

1.7 Variables on legislative procedure coded from legal basis

The variables **rule** and **parliam** were obtained by coding the legal basis. The coding procedure is described in König et al (2006): 8.

1.7.1 Voting rule in Council

rule					Voting	Rule	(CELEX)
	type: label:	numeric rule	(byte)				
	range: unique values:	[0,100] 3		units: missing .:		5	
	tabulation:	Freq. 2657 5234 584	Numeric 0 1	Label Unanimity QMV Not identified			

1.7.2 Involvement of EP

parliam		Involvement of EP (CELEX)
type: label:	numeric (byte	
range: unique values:	[0,100] 4	units: 1 missing .: 0/8475
tabulation:	·	c Label
	6973 242	0 Consultation/ no EP involvement 1 Cooperation
	676	2 Codecision
	* . *	0 not identified

2 Variables obtained from PreLex

The following variables are obtained from the online database PreLex (http://ec.europa.eu/PreLex/apcnet.cfm?CL=en). To understand the structure of this dataset it is useful to look at a sample act. In the following description, the expressions event and field are used. In this context, an event refers to one of the main titles such as "Adoption by Commission" in the example below. Events are highlighted in dark lilac and yellow and listed in the left-hand margin. The corresponding date of each event can be found in the left-hand margin and in the gray shaded box to the left of the event descriptor. Normally, several fields are listed below each event. These fields specify further information such as "Primarily responsible" or "Legal basis" in the example below. The fields shaded in green plus the lavender field on the top of the document are referred to as being part of the header.



2.1 Proposal ID

The ID of the proposal is the document number recoded in a CELEX 5 format. In cases where only the COM Number was available, the ID is coded in the same format as the CELEX 5 numbering scheme. This unique document identifiers provide the link between the two databases. Unfortunately, in some cases the sub-numbering differed (please refer to König et al. 2006).

Proposals were extracted from different events. Amended and Reexamined proposals are obtained from the event in PreLex with the respective names. To give an example, the variable **prproposal2** was obtained from the event "Adoption amended proposal" as it is indicated in the variable name.

2.1.1 Original Proposal (from Header)

prproposal1

type: string (str16)

unique values: 8472

examples: "51987PC0001(7)"

prproposal1:

1. Field Adoption amended Proposal

2.1.2 Amended Proposals

prproposal2		Amended	Commission	Proposal	(PreLex)
type:	string (str18)				
unique values: prproposal2:	1021		missing "":	7297/8475	
1. Field Adoption ame:	nded Proposal				
prproposal3	2	nd Amended	Commission	Proposal	(PreLex)
type:	string (str15)				
unique values: prproposal3:			missing "":	8408/8475	
1. Field Adoption ame:	nded Proposal				
prproposal4 3rd Amend	led Commission	Proposal	(PreLex)		_
type:	string (strl1)				
unique values: prproposal4:	7		missing "":	8468/8475	
1. Field Adoption ame:	nded Proposal				
prproposal5		th Amended	Commission		<u> </u>

2.1.3 Reexamined Proposals

prproposal6 5th (Reexamined) Commission Proposal (PreLex)

type: string (str11)

unique values: 194 missing "": 8264/8475

prproposal6:

1. Field Adoption reexamined Proposal

prproposal7

6th (Reexamined) Commission Proposal(PreLex)

type: string (str11)

unique values: 1 missing "": 8474/8475

prproposal7:

1. Field Adoption reexamined Proposal

2.1.4 Opinions with Proposal Numbering

If the field "CELEX NUMBER" of the event "Opinion adopted by Commission" contained a CELEX5 number with a Proposal pattern (5YYYYPCXXXX), this information is included in **prproposal8**.

prproposal8

Opinion adopted by Commission (PreLex)

type: string (str15)

unique values: 76 missing "": 8396/8475

prproposal8:

1. Included if CELEX5Nr with PC indication.

2.2 Dates

The dates belong to the legislative document with the corresponding name and numbering. To give an example, prproposaldat1 indicates the date when prproposal1 was adopted by the Commission and pradact1dat indicates the date of adoption of pradact1.

2.2.1 Dates of Proposals

prproposaldat1

Date of Commission Proposal (PreLex)

type: numeric daily date (int)

range: [8769,15735] units: 1
or equivalently: [04jan1984,30jan2003] units: days
unique values: 2945 missing .: 0/8475

mean: 11760.7 = 13mar1992 (+ 16 hours)

std. dev: 1867.27

percentiles: 10% 25% 50% 75% 90% 9413 10195 11597 13209 14537 09oct1985 30nov1987 02oct1991 01mar1996 20oct1999

prproposaldat1:

1. Event Adoption amended Proposal

prproposaldat2 Date of Amended Commission Proposal (PreLex)

type: numeric daily date (int)

range: [8839,16183] units: 1
or equivalently: [14mar1984,22apr2004] units: days
unique values: 819 missing .: 7294/8475

mean: 12145.8 = 02apr1993 (+ 20 hours)

std. dev: 1770.32

percentiles: 10% 25% 50% 75% 90% 9819 10820 12038 13531 14578

19nov1986 16aug1989 16dec1992 17jan1997 30nov1999

prproposaldat2:

1. Event Adoption amended Proposal

prproposaldat3

Date of 2nd Amended Commission Proposal (PreLex)

type: numeric daily date (int)

range: [8853,16111] units: 1
or equivalently: [28mar1984,10feb2004] units: days
unique values: 59 missing .: 8402/8475

mean: 11760 = 13mar1992 (+ 1 hour)

std. dev: 2008.55

percentiles: 10% 25% 50% 75% 90% 9434 10384 11289 13324 15154

30oct1985 06jun1988 28nov1990 24jun1996 28jun2001

prproposaldat3:

1. Event Adoption amended Proposal

prproposaldat4

Date of 3rd Amended Commission Proposal (PreLex)

type: numeric daily date (int)

range: [9121,13691] units: 1
or equivalently: [21dec1984,26jun1997] units: days
unique values: 7 missing .: 8468/8475

prproposaldat4:

1. Event Adoption amended Proposal

prproposaldat5

Date of 4th Amended Commission Proposal (PreLex)

type: numeric daily date (int)

range: [13971,13971] units: 1
or equivalently: [02apr1998,02apr1998] units: days
unique values: 1 missing .: 8474/8475

prproposaldat5:

1. Event Adoption amended Proposal

prproposaldat6 Date of 5th (Reexamined) Commission Proposal (PreLex)

type: numeric daily date (int)

range: [10188,14363] units: 1
or equivalently: [23nov1987,29apr1999] units: days
unique values: 186 missing .: 8236/8475

mean: 12133.6 = 21mar1993 (+ 15 hours)

std. dev: 1205.22

percentiles: 10% 25% 50% 75% 90% 10710 11085 11926 13310 14013

28apr1989 08may1990 26aug1992 10jun1996 14may1998

prproposaldat6:

1. Event Adoption reexamined Proposal

prproposaldat7 Date of 6th (Reexamined) Commission Proposal (PreLex)

type: numeric daily date (int)

range: [10560,10560] units: 10
or equivalently: [29nov1988,29nov1988] units: days
unique values: 1 missing .: 8474/8475

prproposaldat7:

1. Event Adoption reexamined Proposal

prproposaldat8

Opinion adopted by Commission (PreLex)

type: numeric daily date (int)

range: [12732,16183] units: 1
or equivalently: [10nov1994,22apr2004] units: days
unique values: 64 missing .: 8396/8475

mean: 14999.4 = 24jan2001 (+ 9 hours)

std. dev: 728.278

percentiles: 10% 25% 50% 75% 90% 14397 14719 15090 15438 15638

02jun1999 19apr2000 25apr2001 08apr2002 25oct2002

prproposaldat8:

1. Included if CELEX5Nr with PC indication.

2.2.2 Dates of Adopted Documents

Date of 1. Adopted Document (PreLex)

type: numeric daily date (int)

range: [8775,15737] units: 1
or equivalently: [10jan1984,01feb2003] units: days
unique values: 1336 missing .: 541/8475

mean: 11928.2 = 28aug1992 (+ 5 hours)

std. dev: 1882.35

percentiles: 10% 25% 50% 75% 90% 9485 10343 11749 13464 14654

20dec1985 26apr1988 02mar1992 11nov1996 14feb2000

pradact2dat

pradact1dat

Date of 2. Adopted Document (PreLex)

type: numeric daily date (int)

range: [8970,15361] units: 1
or equivalently: [23jul1984,21jan2002] units: days
unique values: 97 missing .: 8353/8475

mean: 11609 = 13oct1991 (+ 23 hours)

std. dev: 1728.96

percentiles: 10% 25% 50% 75% 90% 9309 10244 11442.5 12953 14146

27jun1985 18jan1988 30apr1991 19jun1995 24sep1998

pradact3dat

Date of 3. Adopted Document (PreLex)

type: numeric daily date (int)

range: [9026,13590] units: 1
or equivalently: [17sep1984,17mar1997] units: days
unique values: 24 missing .: 8447/8475

pradact4dat

Date of 4. Adopted Document (PreLex)

type: numeric daily date (int)

range: [9544,11973] units: 1
or equivalently: [17feb1986,12oct1992] units: days
unique values: 14 missing .: 8458/8475

pradact5dat

Date of 5. Adopted Document (PreLex)

type: numeric daily date (int)

range: [9544,11973] units: 1
or equivalently: [17feb1986,12oct1992] units: days
unique values: 14 missing .: 8458/8475

pradact6dat

Date of 6. Adopted Document (PreLex)

type: numeric daily date (int)

range: [9544,11973] units: 1
or equivalently: [17feb1986,12oct1992] units: days
unique values: 13 missing .: 8459/8475

pradact7dat

Date of 7. Adopted Document (PreLex)

type: numeric daily date (int)

range: [10247,11623] units: 1
or equivalently: [21jan1988,28oct1991] units: days
unique values: 2 missing .: 8473/8475

2.2.3 Other Dates

prmindat is the minimum date in the dataset which equals the date of adoption of the initial proposal.

prmaxdat is the largest date in the dataset and therefore corresponds to the date of the last event. If an act was adopted this date corresponds to the date of adoption.

pryear1 indicates the year, in which the Commission adopted the proposal. It is based on **proposaldat1**.

pryear2 indicates the year, in which the act was adopted. It is based on **praddate1**.

prmindat

Minimum Date (PreLex)

type: numeric daily date (int)

range: [8769,15735] units: 1
or equivalently: [04jan1984,30jan2003] units: days
unique values: 2943 missing .: 0/8475

mean: 11760.6 = 13mar1992 (+ 15 hours)

std. dev: 1867.25

percentiles: 10% 25% 50% 75% 90% 9413 10195 11597 13209 14537

09oct1985 30nov1987 02oct1991 01mar1996 20oct1999

prmaxdat

Maximum Date (PreLex)

type: numeric daily date (int)

range: [8775,16209] units: 1
or equivalently: [10jan1984,18may2004] units: days
unique values: 1582 missing .: 0/8475

mean: 12039 = 16dec1992 (+ 23 hours)

std. dev: 1916.25

percentiles: 10% 25% 50% 75% 90% 9544 10408 11869 13590 14801

17feb1986 30jun1988 30jun1992 17mar1997 10jul2000

pryear1 Year of Proposal (PreLex) numeric (int) type: range: [1984,2003] units: 1 missing .: 0/8475 unique values: 20 tabulation: Freq. Value 503 1984 561 1985 568 1986 582 1987 621 1988 477 1989 602 1990 494 1991 1992 525 466 1993 1994 444 453 1995 369 1996 374 1997 392 1998 289 1999 308 2000 247 2001 194 2002 6 2003

pryear2 Year of Adoption (PreLex)

type: numeric (int)

range: [1984,2003] units: 1

unique values: 20 missing .: 541/8475

tabulation: Freq. Value 314 1984 496 1985

541 .

2.3 Point on Agenda in Council Meeting

OJ Points are mentioned when a field refers to a Council meeting. The variable **projpoint** is generated from the information of the field "OJ Conceil". **projpoint** refers to the placement of an item on the agenda of the last Council meeting in the dataset.

projpoint			OJ	Point:	Last	occurrence	(PreLex)
	numeric ojlb	(byte)					
_	[0,99] 4				units: ing .:	1 493/8475	
tabulation:	Freq.	Numeric	Labe:	L			
	6644	0	Point	A OJ C	ouncil		
	1009	1	Point	в ОЈ С	ouncil		
	305	2	Writt	en Proc	edure		
	24	99	Not r	nentione	d		
	493						

2.4 Decision Mode in Council

In some cases additional information on the decision rule in the Council vote is given in PreLex. This is available in events that refer to Council meetings and have a specification in the field "decision mode". The variable is coded as "not mentioned" if the field was available but did not contain any information. It is coded missing if the field was not available at all.

```
dmlast
                                            Decision Mode in Council (PreLex)
              type: numeric (byte)
                label: dmlb_en
                                                 units: 1
missing .: 5782/8475
                        [0,99]
                range:
         unique values:
           tabulation: Freq.
                                Numeric Label
                                      0 Unanimity
                          776
                                      1 QMV
                            6
                                      2
                                         Written Procedure
                                     99 Not Mentioned
                         1066
                         5782
                                                           type: numeric (byte)
                label: dmlb
```

2.5 Legislative Procedure

PreLex provides direct information on the legislative procedure in the Header. Unfortunately, in about half of the cases no such information is available.

procedure			Legislative Procedure (Prelex)
	numerio procedu		
range: unique values:	-		units: 1 missing .: 4451/8475
tabulation:	Freq. 2523 496 354 552 93 4 2 4451	1 2 3 4	Label consultation procedure cooperation procedure codecision procedure agreement assent procedure consultation eur. central bank social protocol

2.6 Primarily responsible Directorate General (DG)

Information on responsible DGs is available with hardly any exceptions. The first indication of the field "Primarily responsible DG" is included in this dataset. The specifications from PreLex were coded to achieve consistent classification. The variable **prdg1cat** corresponds to the aggregated information as it is tabulated in König et al. (2006).

prdg1cat 1. Primarily Responsible DG, reduced to largest ones (PreLex) numeric (byte) label: dgrnotelb range: [1,8] units: 1 missing .: 7/8475 unique values: 8 tabulation: Freq. Numeric Label 1 DG01 Trade 2 DG03 Indust 1171 321 DG03 Industry 3 DG05 Employment, Social Affairs 170 4 DG06 Agriculture & DG Fisheries 2894 256 5 DG11 Environment 137 6 DG15 Internal Market 1087 DG21 Taxation and Customs Union 8 Others 2432

2.7 Variables on legislative Procedure coded from the treaty basis

The legislative procedure and voting rule in the Council were coded using the same coding scheme as that used in CELEX. Please note that we did not code all legal bases available. Especially, legislative acts were not used. Please refer to König et al. (2006): Table 6.

type: numeric (byte) label: rule range: [0,1] units: 1 unique values: 2 missing .: 3117/8475 tabulation: Freq. Numeric Label 1252 0 Unanimity 4106 1 QMV 3117 .

prparliam Involvement of EP Derived from Legal Basis (PreLex)

```
type: numeric (byte)
label: parliamlb

range: [0,2] units: 1
unique values: 3 missing .: 3117/8475

tabulation: Freq. Numeric Label
4546 0 Consultation/ no involvement
427 1 Cooperation
385 2 Codecision
3117 .
```

2.8 Typology

These variables refer to the typology as it was outlined in table in König et al. (2006). For further information on **prtyp** please refer to König et al. (2006).

prtyp	Type of Re	lationsh	nip betw	een Prop	posal and	l Ad	lopted	Act	(PreLex)
	type: label:	numeric prtyplb	(byte)						
	range:	[0,6]			unit	s:	1		
	unique values:	7			missing				
	tabulation:	Freq.	Numeric	Label					
		6651	0	Type 1					
		413	1	Type 2					
		1036	2	Type 3					
		129	3	Type 4					
		130	4	Type 5					
		90	5	Type 6					
		26	6	Type 7					

2.9 Result of Legislative Procedure

Result indicates the status of the proceedings as of the date of the download. The variable was coded "1" if a document was mentioned and also if the event "signature by EP and Council" occurred, "2" if the event "Withdrawal by Commission" occurred, "3" if the event "Rejection by Council" occurred.

result				State of	Procedure	(PreLex)
	type: label:	numeric (byte) resultlb				
		[0,3] 4		units: missing .:	-	
	tabulation:	Freq. 172 7933 361	Numeric 0 1 2	Label pending adopted COM: withdrawal/rep	lacement	
		9	3	CM: no adoption/ rejection		

3 References

Office for Official Publications of the European Communities: CELEX Reference Manual, Version July 2002, http://www.europa.eu.int/celex/htm/celex en.htm, last accessed on 31 July 2006